Medical Necessity Guideline

Medical Necessity Guideline (MNG) Title: Determination and Documentation of Medical Necessity in an Inpatient Rehabilitation Facility

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<th>MNG #: 085</th>
<th>SCO ☒ One Care</th>
<th>MAPD-MA Medicare Preferred</th>
<th>MAPD-MA Medicare Value</th>
<th>MAPD-RI Medicare Preferred</th>
<th>MAPD-RI Medicare Value</th>
<th>DSNP-RI Medicare Maximum</th>
<th>Prior Authorization Needed?</th>
<th>☒ Yes ☐ No</th>
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<tbody>
<tr>
<td>Clinical: ☒</td>
<td>Operational: ☐</td>
<td>Informational: ☐</td>
<td>Approval Date: 10/14/2021;</td>
<td>Effective Date: 2/06/2022;</td>
<td>Last Revised Date: 10/14/2022;</td>
<td>Next Annual Review Date:</td>
<td>Retire Date:</td>
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OVERVIEW:

Inpatient Rehabilitation Facility:
Inpatient rehabilitation hospitals and rehabilitation units of acute-care hospitals, collectively known as IRFs, provide intensive rehabilitation therapy in a resource-intensive inpatient hospital environment for patients who, because of the complexity of their nursing, medical management, and rehabilitation needs, require and can reasonably be expected to benefit from an inpatient stay and an interdisciplinary team approach to the delivery of rehabilitation care (the Medicare Benefit Policy Manual (the Manual), Pub. No. 100-02, chapter 1, § 110)The goal-oriented rehabilitative services require the skills of a rehabilitation physician with specialized training and experience in rehabilitative services, a registered nurse with specialized training and experience in rehabilitation, a social worker or case manager (or both), and a licensed physical, speech/language and occupational therapists to safely and effectively furnish a recognized therapy service whose goal is improvement of an impairment or functional limitation for patients that are admitted to an Acute Rehabilitation Hospital.

Interdisciplinary services are those provided by a treatment team in which all members participate in a coordinated effort to benefit the patient and the patient’s significant others and caregivers. Interdisciplinary services, by definition, cannot be provided by only one discipline. Though individual members of the interdisciplinary team work within their own scopes of practice, each professional is also expected to coordinate his or her efforts with team members of other specialties, as well as with the patient and the patient’s significant others and caregivers. The purpose of the interdisciplinary team is to foster frequent, structured, and documented communication among disciplines to establish, prioritize, and achieve treatment goals.
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DEFINITIONS:
IRF – Inpatient Rehabilitation Facility - IRFs are free standing rehabilitation hospitals and rehabilitation units in acute care hospitals. They provide an intensive rehabilitation program and patients who are admitted must be able to tolerate three hours of intense rehabilitation services per day.

MD – Medical Doctor
RN – Registered Nurse
SW – Social Worker
CM – Case Manager
PT- Physical Therapy
OT- Occupational Therapy
SLP – Speech Language Pathologist

DECISION GUIDELINES:
Clinical Coverage Criteria:
Inpatient Rehabilitation Facility services are considered reasonable and necessary when there is a reasonable expectation that these criteria are met at the time of admission:

1. The patient can reasonably be expected to actively participate in, and benefit significantly from, the intensive rehabilitation therapy program.

2. The patient requires the active and ongoing therapeutic intervention of multiple therapy disciplines (PT, OT, SLP, or prosthetics/orthotics), one of which must be PT or OT.

3. Is sufficiently stable at the time of admission to the IRF to be able to actively participate in the intensive rehabilitation therapy program.

4. The patient requires an intensive therapy program; under industry standard, this is usually three (3) hours of combined therapy per day, at least 5 days per week; in certain, well-documented cases, this therapy might consist of at least fifteen (15) hours of therapy within a seven (7) consecutive day period, beginning with day of admission to IRF.

5. The patient requires MD supervision by a rehabilitation physician, defined as a licensed physician with specialized training and experience in IRF. The requirement for MD supervision means that the rehabilitation physician must conduct face-to-face visits with the patient at least 3 days/week throughout the admission in the IRF to assess the patient both medically and functionally, as well as to modify the course of treatment as needed to maximize the patient’s capacity to benefit from the rehabilitation process.

6. A comprehensive preadmission screening that meets all the following requirements:
   Conducted by a licensed or certified clinician designated by a rehab physician, conducted more than 48 hours immediately preceding the IRF admission will be accepted as long as it is updated within 48 hours immediately following
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the IRF admission.

Concurrent Reviews:
Occur every 7 days by a CCA Clinician conducting weekly collaboration with facility case manager to discuss current plan of care and discharge planning. This will include review of clinical documentation to determine continued need based on IRF criteria:

The member must require acute inpatient level of care based on the following clinical needs, according to Medicare criteria or evidence based clinical support tools:

- Relatively intense, multi-disciplinary rehabilitation provided by a coordinated team of physical therapists, occupational therapists, speech language pathologists, nurses and/or other professionals supervised by a physician with experience or training in rehabilitation medicine.
  - MD oversight 3x/week and 24-hour availability
  - Daily skilled nursing services
  - Rehabilitation 3 hours of therapy per day at least 5 days per week provided by a minimum of 2 therapies
- Reasonable and attainable goals
- Ability and willingness to participate in an intensive rehabilitation program.
- Presence of a condition that cannot be handled at a lower level of care

Determination of need:
The authorizing clinician must determine that the member requires rehabilitative services based on the plan of care that was developed by the IRF. The services shall be of such a level of complexity and sophistication or the condition of the patient shall be such that the services required can only be safely and effectively performed by a qualified clinician, or therapists supervising assistants.

LIMITATIONS/EXCLUSIONS:
Services that do not require the professional skills of a therapist to perform or supervise are not medically necessary, even if they are performed or supervised by a therapist or physician. Therefore, if a patient’s therapy can proceed safely and effectively through a home exercise program, self-management program, restorative nursing program or caregiver assisted program, payment cannot be made for therapy services.

- If at any point in the treatment it is determined that the treatment becomes repetitive and does not require the unique skills of a therapist, the services are non-covered.
- If a patient’s limited ability to comprehend instructions, follow directions, or remember skills that are necessary to achieve an increase in function, is so severe as to make functional improvement very unlikely, rehabilitative therapy is not required, and therefore, is not covered. However, limited services in these circumstances may be covered with supportive documentation, if the skills of a therapist are required to establish and teach a caregiver a safety or maintenance program.
- This does not apply to the limited situations where rehabilitative therapy is reasonable and achieving meaningful

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goals is appropriate, even when a patient does not have the ability to comprehend instructions, follow
directions or remember skills. Examples include sitting and standing balance activities that help a patient
recover the ability to sit upright in a seat or wheel-chair, or safely transfer from the wheelchair to a toilet.

AUTHORIZATION:
Prior authorizations are required for all Inpatient Rehabilitation Facility admissions. Authorization decisions require
documentation of an in-person assessment of the member by a licensed physical therapist, speech/language
pathologist, or occupational therapist; the documentation must show why rehabilitative services are needed, what goals
are to be achieved, and an approximate timeframe in which the goals can be expected to be achieved. (Note: the time
indicated in the assessment shall not be considered a limitation; it will, however, guide when additional information may
be requested to document the need for continued coverage).

REGULATORY NOTES: Exhibit A
Title 42 Code of Federal Regulations (CFR) 412.23(b)(2)
42 CFR SS412.622(a)(3), (4), (5)

REGULATORY NOTES: Exhibit B
www.cms.gov

RELATED REFERENCES:
Medicare Benefit Policy manual > CMS.gov > Top 5 Resources > Manuals > Internet-Only Manuals (IOMs) > Publication
# 100-02 > Chapter 1 – Inpatient Hospital Services Covered Under Part A > Transmittals for Chapter 1 > 110 – Inpatient
Rehabilitation Facility (IRF) Services > sub-heading 110.2 – Inpatient Rehabilitation Facility Medical Necessity Criteria

ATTACHMENTS:
<table>
<thead>
<tr>
<th>EXHIBIT A</th>
<th>CFR-2015-title42-vol2-sec412.23(b)(2)</th>
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<tr>
<td>EXHIBIT B</td>
<td><a href="http://www.cms.gov">www.cms.gov</a></td>
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REVISION LOG:

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Disclaimer:
This Medical Necessity Guideline is not a rigid rule. As with all CCA’s criteria, the fact that a member does not meet these criteria does not, in and of itself, indicate that no coverage can be issued for these services. Providers are advised, however, that if they request services for any member who they know does not meet our criteria, the request should be accompanied by clear and convincing documentation of medical necessity. The preferred type of documentation is the letter of medical necessity, indicating that a request should be covered either because there is supporting science indicating medical necessity (supporting literature (full text preferred) should be attached to the request), or describing the member’s unique clinical circumstances, and describing why this service or supply will be more effective and/or less costly than another service which would otherwise be covered. Note that both supporting scientific evidence and a description of the member’s unique clinical circumstances will generally be required.

Douglas Hsu, MD, MPH
Vice President, Medical Policy and Utilization Review
CCA Senior Clinical Lead [Print] Title [Print]

Signature

10/14/2021
Date

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Lori Tishler, MD
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CCA CMO or Designee [Print] Title [Print]

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10/14/2021
Date